

List of Fees of the Reporting Office

1. Purpose and subject			
1.1	The List of Fees of the Reporting Office of Seturion AG (SeturionX) governs the fees that reporting participants of the Reporting Office (participants) are obliged to pay.		
2. Reporting Fee			
2.1.	The reporting fees are payable per trade or transaction report and participant.		
2.2	Reports from participants that are group companies or branches of the same corporate group will be considered together for the determination of maximum fees. Subsidiaries directly or indirectly owned by less than 50% by the parent company of the group are excluded from this.		
2.3.	Designated Market Makers are exempt from the reporting fee in their instruments.		
2.4.	Trade Report (off-exchange, off-book trade)		
	Instruments first admitted to trading on SeturionX ("Listed") (excluding the Structured Products segment), per trade.	bps	0.25
	minimum fee	CHF	1.50
	maximum fee	CHF	25.00
2.5.	Trade Report (off-exchange, off-book trade)		
	Instruments secondarily admitted to trading on SeturionX ("Sponsored"), flat fee per trade	CHF	0.20
2.6.	Maximum fee per calendar year for cumulative fees according to Sections 2.4 and 2.5.		
		CHF	200'000

2.7.	Trade Report (off-exchange, off-book trade) Instruments admitted to trading on SeturionX in the Structured Products segment	Fee in CHF per calendar year for 1 – 150'000 trades,	
		per trade	CHF 1.50
		minimum fee	CHF 1.50
		maximum fee	CHF 50'000
	Fee in CHF per calendar year for 150'001 – 200'000 trades, per trade		CHF 1.50
		minimum fee	CHF 50'000
		maximum fee	CHF 100'000
	Fee in CHF per calendar year from 200'001 trades, per trade		CHF 1.50
		minimum fee	CHF 100'000
		maximum fee	CHF 200'000
2.8.	Trade Report (off-exchange, off-book trade) All other instruments (not admitted to trading on SeturionX)	CHF	0.20
2.9.	Transaction Report, all instruments		
	Fee in CHF per calendar year for 1 – 200'000 transactions,		
	per transaction	CHF	0.20
	minimum fee	CHF	0.20
	maximum fee	CHF	25'000
	Fee in CHF per calendar year from 200'001 transactions,		
	per transaction	CHF	0.20
	minimum fee	CHF	25'000
	maximum fee	CHF	50'000
2.10.	Minimum fee per month, in the event that reports are made in the month	CHF	50.00

3. Final provisions

- 3.1. The general provisions of the SeturionX List of Fees shall apply.
- 3.2 All fees outlined in this List of Fees of the Reporting Office are exempt from VAT.
- 3.3. This List of Fees was approved by FINMA on 12 March 2025 and enters into force on 13 March 2025.